

## History of IRM Pre-cases

A pre-case is a communication from an external party to the IRM and information received by the IRM that is registered in the Case Management System as a pre-case and may or may not mature into a complaint. Pre-cases that have been escalated to complaints are recorded on the Case Register page of the IRM's website<sup>1</sup>.

The table below contains a summary of all the pre-cases registered in the IRM's Case Management System as of 31 August 2024.

Year	Pre-case number	Summary of communications	Status
2024	PC0059	During routine media monitoring, the IRM encountered a report raising concerns about a GCF-funded project or programme in the forestry sector. The IRM initiated communications with the author(s) of the report to obtain further information.	Open
	PC0056	The IRM received a communication via its external webform on matters related to an Accredited Entity. As the communication did not pertain to a GCF-funded project or programme, the IRM closed the pre-case and forwarded the information received to relevant Secretariat offices.	Closed
	PC0054	The IRM received an email communication raising integrity related concerns regarding a GCF-financed project. The communication was referred to the IIU for further processing.	Closed
	PC0053	The IRM received an email communication raising concerns related to a GCF Covered Individual and/or Counterparty. The matter was referred to the IIU for further processing.	Closed
	PC0052	The IRM received a webform submission raising concerns related to resettlement and livelihoods. The submission was not related to GCF-financed projects or activities and thus, fell outside the IRM mandate. The IRM closed the pre-case and communicated to the submitter the reasons for doing so.	Closed
	PC0051	The IRM received a webform submission raising integrity concerns related to the procurement process for a GCF-financed project and/or program. The matter was referred to the IIU for further processing.	Closed
	PC0050	The IRM received a communication expressing concerns related to the lack of responsiveness of a National Designated Authority to numerous communications. The IRM referred the communication to relevant offices of the Secretariat to process further.	Closed
	PC0049	The IRM received a submission via its external webform alleging harm experienced by communities in relation to a GCF-funded project and/or programme. After connecting with the potential complainant(s), and confirming their intent to file a complaint, the IRM escalated the pre-case to a complaint.	Closed
	PC0048	The IRM received a document via its external webform alleging livelihood and resettlement concerns. The IRM has reached out to the submitter to obtain more information.	Closed
	PC0047	The IRM received a submission via its external webform raising concerns related to a GCF project. The submission was addressed to a different GCF body and as such, the IRM is getting in touch with the submitter to clarify the intended recipient for the concerns.	Closed

<sup>1</sup> Available at: <https://irm.greenclimate.fund/case-register>

	PC0046	The IRM encountered a publication and press release alleging lapses in consultation and threats to tenure rights of local communities that may be related to GCF project/programme activity. The IRM has reached out to relevant stakeholders, including the authors of the publication to obtain more information.	Open
	PC0045	The IRM encountered press reports that alleged failure of disbursement and failure of adequate consultation in relation to a GCF funded activity. The IRM has initiated engagements with local stakeholders and the authors of the press report to obtain more information.	Open
	PC0040	The IRM reopened a previously closed pre-case following press reports related to livelihood and resettlement concerns that could be occurring in areas where GCF projects are being implemented. The IRM has reached out to relevant stakeholders to obtain more information.	Closed
2023	PC0043	The IRM received a referral from the IIU on a matter pertaining to investigations into workplace concerns related to a GCF funded activity. The IRM has initiated communications with the relevant stakeholders to obtain clarity on the process and issues raised.	Open
	PC0042	The IRM encountered press reports of deforestation and displacement of indigenous peoples that were linked, without confirmation, to GCF financing. The IRM reached out to relevant stakeholders to obtain further information on links, if any, to GCF projects or programs and subsequently determined the matter raised did not pertain to a GCF-funded activity. The pre-case was subsequently closed.	Closed
	PC0041	During an outreach activity, the IRM became aware of concerns relating to environmental pollution and community health and safety with regards to a GCF-funded project. The IRM attempted to reach out to participants to obtain more information but was unsuccessful in obtaining further information. The pre-case was subsequently closed.	Closed
	PC0020	During routine media monitoring, the IRM encountered press reports relating to compensation concerns stemming from an infrastructure project funded by the GCF. As new information was uncovered regarding the status of the project and associated concerns, the IRM re-opened the pre-case. The IRM reached out to relevant stakeholders to obtain further information. As no communications or follow-ups were received, the IRM has closed the pre-case.	Closed
2022	PC0040	Acting on a public report of community concerns raised regarding a GCF- funded project/programme, the IRM convened meetings with relevant stakeholder(s) to obtain more information of possible adverse impacts to affected communities, including issues related to livelihoods and food security. As no further communications or follow-ups were received, the IRM has closed the pre-case.	Closed
	PC0038	The IRM was contacted by an international accountability mechanism regarding complaints filed and deemed eligible with that mechanism alleging labor violations in relation to a project jointly financed by the GCF. At the request of complainant(s), the IRM initiated communications to share more information about the IRM's mandate, functions and processes.	Closed
	PC0037	The IRM received a communication via the Independent Integrity Unit about concerns related to workplace-related conditions. The IRM initiated communications with the relevant parties to obtain more information.	Closed

	PC0036	The IRM received a webform communication in which the sender(s) raised concerns regarding their exclusion from decision-making processes. The IRM met with the sender(s) to provide them with information about the IRM's mandate, functions and processes. The sender indicated their desire for the communication to be treated as a complaint. Subsequently, the pre-case was elevated to a case and disclosed on the case register.	Closed
	PC0030	The IRM received a webform communication about the unresponsiveness of an AE with regard to a complaint against their project. The IRM met with the sender(s) and shared information about the IRM's mandate, functions and processes. Since the project of concern is not funded by the GCF, the IRM decided to find out more information about the AE's grievance redress mechanism and share it with the sender(s). Therefore, the IRM has reached out to the AE to acquire further information about their GRM.	Closed
	PC0029	A communication received by the GCF Secretariat was forwarded to the IRM. In this communication, the sender(s) indicated that they had asked for GCF-related information from their NDA but the NDA had been unresponsive to their requests. The IRM suggested a meeting but has not received a response, and thus the communication was closed. Since the written communication appeared irrelevant to a project or programme funded by the GCF, the IRM did not follow up and closed the communication.	Closed
	PC0028	Acting on press reports regarding a GCF-funded project/programme, the IRM convened meetings with relevant stakeholder(s) to obtain more information of possible adverse impacts to affected communities, including issues related to land use, impacts to livelihoods and environment. Information about the IRM's mandate, functions and processes was shared, and the IRM expected to receive further communications from stakeholder(s). The IRM followed up for further information about the concerns raised, but it did not receive any additional updates and thus closed the communication.	Closed
2021	PC0027	The IRM received a communication in which the sender(s) desired be treated as a complaint. The IRM team arranged a conversation with the sender(s) to gather more information. It was established that the communication did not come from project-affected person(s) and/or their representatives and the concerns raised related to a request for information. Based on the above findings, it was determined that the matter fell outside of the IRM's mandate and the IRM team directed the inquiry to the relevant divisions of the GCF. The case was consequently closed.	Closed
	PC0023	The IRM received a communication about a dispute between the sender(s) of the communication and an Accredited Entity (AE) unrelated to a project funded by the GCF. Appropriate information was provided to the sender(s) that neither the IRM nor the Independent Integrity Unit could intervene in the matter.	Closed
	PC0021	Following outreach activities, the IRM received a communication alleging community displacement in a GCF funded project. The contact(s) said they would consult with the affected persons and follow up on how they would like to proceed.	Closed
	PC0020	Through routine monitoring of relevant media, the IRM identified an allegation of flood-related damage to community housing and facilities stemming from engineering failures in an infrastructure project funded by the GCF. The IRM reached out to relevant stakeholders based in the region to obtain further information. The IRM made several attempts to obtain information but receiving no response, the IRM closed the communication.	Closed
	PC0019	Following outreach activities, the IRM received information from contact(s) regarding deficiencies in the construction of new buildings in their region. However, due to the absence of any link to the GCF, the IRM closed the communication.	Closed

2020	PC0018	The IRM received an email about the lack of response from a GCF Accredited Entity to inquiries about a project. The communication did not fall within the mandate of the IRM and was subsequently closed.	Closed
	PC0017	The IRM received a communication involving a National Designated Authority related to a contractual dispute over the quality of services provided and payment for those services. The IRM informed sender(s) that the dispute did not fall within the IRM's purview and with their consent referred this matter to the Independent Integrity Unit.	Closed
	PC0013	The IRM received a communication via the Independent Integrity Unit about concerns relating to the credibility of an Accredited Entity, with a request to delay or stop the consideration of a funding proposal at a subsequent Board meeting. With the IRM's clarification that its mandate does not include stopping the consideration of funding proposals or acting as a representative on behalf of others at a Board meeting, the sender withdrew the communication.	Closed
	PC0012	The IRM received a communication relating to the illegal usage of private property by an agricultural company to grow crops. Since the concern did not relate to a GCF funded project, the communication was closed and the IRM suggested sender(s) approach the relevant grievance redress mechanism.	Closed
	PC0011	During routine monitoring of media activities, the IRM identified public source(s) alleging community displacement, human rights violations, corruption and other serious concerns relating to a GCF funded project. The IRM established contact with the public source(s) and expected to receive further communications. Despite many follow ups, the public source(s) did not respond to the IRM and the communication was subsequently closed.	Closed
	PC0008	During routine monitoring of media activities, the IRM identified a petition about a GCF funded programme that would allegedly harm communities. When contacted by the IRM, the civil society representative(s) organizing the petition clarified that they did not want to file a complaint. The IRM considered initiating a self- initiated inquiry, but due to the lack of specific locations where the programme would take place, the IRM decided to close the matter and continue to monitor the programme through public source(s).	Closed
	PC0007	The IRM received an email concerning the perceived unresponsiveness of an Accredited Entity. Following a later confirmation from sender(s) that the Accredited Entity had since been in touch, the IRM closed the communication.	Closed
2019	PC0005	The IRM received an email about a Procurement issue. As procurement issues are excluded from the IRM's purview, the IRM forwarded the complaint to the GCF's Procurement Team.	Closed
	PC0004	Following regional outreach activities, the IRM became aware of a concern relating to Indigenous Peoples at a GCF project site. The IRM noted stakeholders were already in communication with the relevant grievance redress mechanism for the Accredited Entity. Stakeholders were provided with information about how to contact the IRM. Receiving no follow ups, the IRM closed the communication.	Closed

	PC0003	The GCF Secretariat forwarded to the IRM an email communication about illegal activities occurring on the sender(s)' private property. Since the property in question, and alleged illegal activities, were not related to a GCF funded project, the IRM closed the communication.	Closed
	PC0002	The Independent Integrity Unit referred to the IRM questions from stakeholder(s) asking why a certain country was not being considered for GCF funding. The IRM clarified its mandate and provided information to stakeholder(s). Receiving no further response, the IRM closed the communication.	Closed