

## History of IRM Pre-cases

A pre-case is a communication from an external party to the IRM and information received by the IRM that is registered in the Case Management System as a pre-case and may or may not mature into a complaint. Pre-cases that have been escalated to complaints are recorded on the Case Register page of the IRM's website<sup>1</sup>.

The table below contains a summary of all the pre-cases registered in the IRM's Case Management System as of 31 August 2025.

| Year | Pre-case number | Summary of communications                                                                                                                                                                                                                                                                                                                      | Status |
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| 2025 | PC0086          | The IRM encountered numerous media reports alleging failure of early warning systems in relation to potential GCF-funded project or programmes, leading to severe adverse impacts. The IRM is assessing the information gathered and seeking to understand linkages to GCF-projects and programmes.                                            | Open   |
|      | PC0085          | The IRM received a submission raising concerns related to beneficiary selection in relation to a potential GCF project and programme. The IRM is seeking more information from the sender.                                                                                                                                                     | Open   |
|      | PC0084          | The IRM received a communication alleging lack of implementation of programme activities leading to adverse impacts in a potential GCF-funded project and programme area. The IRM is seeking more information from the sender.                                                                                                                 | Open   |
|      | PC0083          | The IRM encountered an online article detailing concerns related to the technical feasibility of a GCF-funded project, including risks of potential adverse impacts to communities. The IRM is seeking to get in touch with the author(s) of the article to obtain more information.                                                           | Open   |
|      | PC0082          | The IRM received communications regarding climate-related adverse impacts and severe flooding that could have relevance to a GCF-project. The IRM followed up with the complainant to obtain further information to further assess linkages to the GCF.                                                                                        | Open   |
|      | PC0081          | The IRM received a concern pertaining to HR-related matters. As the issue fell outside the IRM mandate, the message was forwarded to the relevant Secretariat teams and the case was subsequently closed.                                                                                                                                      | Closed |
|      | PC0080          | The IRM received a communication via its external webform detailing concerns related to labor and working conditions linked a GCF project. The IRM clarified its mandate and the complainant did not request further action. The pre-case has been subsequently closed.                                                                        | Closed |
|      | PC0079          | The IRM received a communication via its external webform detailing concerns related to labor and working conditions linked a GCF project. The IRM engaged with the potential complainant and verified their intention to formally register the complaint. The pre-case was subsequently escalated to a case.                                  | Closed |
|      | PC0078          | The IRM received a complaint letter via email related to ongoing environmental and social safeguarding concerns related to implementation of activities under a GCF project. The IRM engaged with the senders of the communication and verified their intention to proceed with registering a complaint. The pre-case was escalated to a case. | Closed |
|      | PC077           | The IRM received a complaint via its external webform pertaining to forced evictions and livelihood impacts that allegedly occurred as part of activities under a GCF project. The IRM engaged with potential complainants to verify the location of reported adverse impacts and communicated that the reported locations did not             | Closed |

<sup>1</sup> Available at: <https://irm.greenclimate.fund/case-register>

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|      |        | overlap with any known GCF project areas. Subsequently, the potential complainants agreed to suspend the pre-case pending further verification and information gathering.                                                                                                                                                                                   |        |
|      | PC0076 | The IRM received a submission alleging labor and working condition violations at a GCF project/programme site. The matter was also submitted to the implementing agencies' grievance mechanism. Following the engagement with the implementing entity mechanism to address concerns, the submitter expressed a desire not to move forward with a complaint. | Closed |
|      | PC0075 | The IRM received a submission regarding concerns related to a GCF-funded project/programme. As an IRM case was ongoing in the project/programme area, the IRM referred the complainant to be included within existing processes.                                                                                                                            | Closed |
|      | PC0074 | The IRM received a submission via its external web-form seeking to understand GCF policies and procedures. The IRM re-directed the submitter to the relevant Information Disclosure Policy and request process.                                                                                                                                             | Closed |
| 2024 | PC0072 | The IRM received reports of a serious incident involving community health, safety and security at a site with linkages to GCF-funded activities. The IRM engaged in fact-finding and engaged with affected communities who expressed a desire to file a complaint. The IRM subsequently escalated the pre-case to a case.                                   | Closed |
|      | PC0071 | The IRM received a submission via its external webform on a procurement related matter, falling outside the IRM mandate. The communication was nonetheless forwarded to relevant teams in the GCF for further action.                                                                                                                                       | Closed |
|      | PC0070 | The IRM received information about serious risks to community health, safety and security at a GCF-funded project site. The IRM engaged in fact-finding and spoke with relevant stakeholders. Following a discussion with affected communities, the matter was escalated to a complaint.                                                                    | Closed |
|      | PC0069 | The IRM received information via a civil society organization regarding concerns around flood impacts experienced by communities around a GCF project site. The IRM initiated communications with the relevant stakeholders. Due to a lack of response the IRM has closed the pre-case.                                                                     | Closed |
|      | PC0068 | The IRM received information via a civil society organization regarding concerns around consultations undertaken under a GCF project. The IRM initiated communications with the relevant stakeholders to share more information about the IRM mandate. Due to a lack of response the IRM has closed the pre-case.                                           | Closed |
|      | PC0069 | The IRM received information via a civil society organization on adverse environmental impacts being experienced by communities potentially due to a GCF project. The IRM initiated communications with affected stakeholders in the relevant affected areas to obtain further information on the nature of impacts.                                        | Closed |
|      | PC0067 | The IRM received a submission via its external webform of a matter related to delays in project-related payments. The matter was referred to the relevant Secretariat teams for further action.                                                                                                                                                             | Closed |
|      | PC0066 | The IRM was alerted to potential community health, safety and security concerns related to a GCF-financed project and programme. The IRM reached out to relevant stakeholders to ascertain the nature of harm alleged and links to GCF project and/or programme. Due to a lack of response the IRM has closed the pre-case.                                 | Closed |
|      | PC0065 | The IRM received information via civil society organizations regarding complaints filed in relation to an energy sector project that may be linked to a GCF-financed project or programme. The IRM has initiated communications with relevant stakeholders to obtain more information. Due to a lack of response the IRM has closed the pre-case.           | Closed |
|      | PC0064 | The IRM received information via civil society on potential negative environmental impacts experienced by a community that may concern a GCF financed project or programme. The IRM has opened a pre-case to obtain more information and                                                                                                                    | Closed |

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|  |        | research links to the GCF financed project-programme.                                                                                                                                                                                                                                                                                                                                                |        |
|  | PC0063 | The IRM received a communication regarding workplace conditions related to a GCF financed project and/or programme. The IRM's attempts to contact the submitter were not successful and subsequently the pre-case was closed.                                                                                                                                                                        | Closed |
|  | PC0062 | The IRM received a communication from a civil society organization regarding concerns related to a transport sector project. The IRM researched links between the CSO reports and GCF-financed projects or programmes, and did not find evidence of links to GCF-funded activities.                                                                                                                  | Closed |
|  | PC0059 | During routine media monitoring, the IRM encountered a report raising concerns about a GCF-funded project or programme in the forestry sector. The IRM initiated communications with the author(s) of the report to obtain further information. Following initial engagements, the IRM did not receive any further communications from the author(s) and subsequently decided to close the pre-case. | Closed |
|  | PC0056 | The IRM received a communication via its external webform on matters related to an Accredited Entity. As the communication did not pertain to a GCF-funded project or programme, the IRM closed the pre-case and forwarded the information received to relevant Secretariat offices.                                                                                                                 | Closed |
|  | PC0054 | The IRM received an email communication raising integrity related concerns regarding a GCF-financed project. The communication was referred to the IIU for further processing.                                                                                                                                                                                                                       | Closed |
|  | PC0053 | The IRM received an email communication raising concerns related to a GCF Covered Individual and/or Counterparty. The matter was referred to the IIU for further processing.                                                                                                                                                                                                                         | Closed |
|  | PC0052 | The IRM received a webform submission raising concerns related to resettlement and livelihoods. The submission was not related to GCF-financed projects or activities and thus, fell outside the IRM mandate. The IRM closed the pre-case and communicated to the submitter the reasons for doing so.                                                                                                | Closed |
|  | PC0051 | The IRM received a webform submission raising integrity concerns related to the procurement process for a GCF-financed project and/or program. The matter was referred to the IIU for further processing.                                                                                                                                                                                            | Closed |
|  | PC0050 | The IRM received a communication expressing concerns related to the lack of responsiveness of a National Designated Authority to numerous communications. The IRM referred the communication to relevant offices of the Secretariat to process further.                                                                                                                                              | Closed |
|  | PC0049 | The IRM received a submission via its external webform alleging harm experienced by communities in relation to a GCF-funded project and/or programme. After connecting with the potential complainant(s), and confirming their intent to file a complaint, the IRM escalated the pre-case to a complaint.                                                                                            | Closed |
|  | PC0048 | The IRM received a document via its external webform alleging livelihood and resettlement concerns. The IRM has reached out to the submitter to obtain more information.                                                                                                                                                                                                                             | Closed |
|  | PC0047 | The IRM received a submission via its external webform raising concerns related to a GCF project. The submission was addressed to a different GCF body and as such, the IRM is getting in touch with the submitter to clarify the intended recipient for the concerns.                                                                                                                               | Closed |
|  | PC0046 | The IRM encountered a publication and press release alleging lapses in consultation and threats to tenure rights of local communities that may be related to GCF project/programme activity. The IRM has reached out to relevant stakeholders, including the authors of the publication to obtain more information.                                                                                  | Closed |

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|      | PC0045 | The IRM encountered press reports that alleged failure of disbursement and failure of adequate consultation in relation to a GCF funded activity. The IRM initiated engagements with local stakeholders and the authors of the press report to obtain more information.                                                                                                                                                                                      | Closed |
|      | PC0040 | The IRM reopened a previously closed pre-case following press reports related to livelihood and resettlement concerns that could be occurring in areas where GCF projects are being implemented. The IRM has reached out to relevant stakeholders to obtain more information.                                                                                                                                                                                | Closed |
| 2023 | PC0043 | The IRM received a referral from the IIU on a matter pertaining to investigations into workplace concerns related to a GCF funded activity. The IRM initiated communications with the relevant stakeholders to obtain information on the outcome of the investigation.                                                                                                                                                                                       | Closed |
|      | PC0042 | The IRM encountered press reports of deforestation and displacement of indigenous peoples that were linked, without confirmation, to GCF financing. The IRM reached out to relevant stakeholders to obtain further information on links, if any, to GCF projects or programs and subsequently determined the matter raised did not pertain to a GCF-funded activity. The pre-case was subsequently closed.                                                   | Closed |
|      | PC0041 | During an outreach activity, the IRM became aware of concerns relating to environmental pollution and community health and safety with regards to a GCF-funded project. The IRM attempted to reach out to participants to obtain more information but was unsuccessful in obtaining further information. The pre-case was subsequently closed.                                                                                                               | Closed |
|      | PC0020 | During routine media monitoring, the IRM encountered press reports relating to compensation concerns stemming from an infrastructure project funded by the GCF. As new information was uncovered regarding the status of the project and associated concerns, the IRM re-opened the pre-case. The IRM reached out to relevant stakeholders to obtain further information. As no communications or follow-ups were received, the IRM has closed the pre-case. | Closed |
| 2022 | PC0040 | Acting on a public report of community concerns raised regarding a GCF- funded project/programme, the IRM convened meetings with relevant stakeholder(s) to obtain more information of possible adverse impacts to affected communities, including issues related to livelihoods and food security. As no further communications or follow-ups were received, the IRM has closed the pre-case.                                                               | Closed |
|      | PC0038 | The IRM was contacted by an international accountability mechanism regarding complaints filed and deemed eligible with that mechanism alleging labor violations in relation to a project jointly financed by the GCF. At the request of complainant(s), the IRM initiated communications to share more information about the IRM's mandate, functions and processes.                                                                                         | Closed |
|      | PC0037 | The IRM received a communication via the Independent Integrity Unit about concerns related to workplace-related conditions.<br>The IRM initiated communications with the relevant parties to obtain more information.                                                                                                                                                                                                                                        | Closed |
|      | PC0036 | The IRM received a webform communication in which the sender(s) raised concerns regarding their exclusion from decision-making processes. The IRM met with the sender(s) to provide them with information about the IRM's mandate, functions and processes. The sender indicated their desire for the communication to be treated as a complaint. Subsequently, the pre-case was elevated to a case and disclosed on the case register.                      | Closed |

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|      | PC0030 | The IRM received a webform communication about the unresponsiveness of an AE with regard to a complaint against their project. The IRM met with the sender(s) and shared information about the IRM's mandate, functions and processes. Since the project of concern is not funded by the GCF, the IRM decided to find out more information about the AE's grievance redress mechanism and share it with the sender(s). Therefore, the IRM has reached out to the AE to acquire further information about their GRM.                                                                                 | Closed |
|      | PC0029 | A communication received by the GCF Secretariat was forwarded to the IRM. In this communication, the sender(s) indicated that they had asked for GCF-related information from their NDA but the NDA had been unresponsive to their requests. The IRM suggested a meeting but has not received a response, and thus the communication was closed. Since the written communication appeared irrelevant to a project or programme funded by the GCF, the IRM did not follow up and closed the communication.                                                                                           | Closed |
|      | PC0028 | Acting on press reports regarding a GCF-funded project/programme, the IRM convened meetings with relevant stakeholder(s) to obtain more information of possible adverse impacts to affected communities, including issues related to land use, impacts to livelihoods and environment. Information about the IRM's mandate, functions and processes was shared, and the IRM expected to receive further communications from stakeholder(s). The IRM followed up for further information about the concerns raised, but it did not receive any additional updates and thus closed the communication. | Closed |
| 2021 | PC0027 | The IRM received a communication in which the sender(s) desired be treated as a complaint. The IRM team arranged a conversation with the sender(s) to gather more information. It was established that the communication did not come from project-affected person(s) and/or their representatives and the concerns raised related to a request for information. Based on the above findings, it was determined that the matter fell outside of the IRM's mandate and the IRM team directed the inquiry to the relevant divisions of the GCF. The case was consequently closed.                     | Closed |
|      | PC0023 | The IRM received a communication about a dispute between the sender(s) of the communication and an Accredited Entity (AE) unrelated to a project funded by the GCF. Appropriate information was provided to the sender(s) that neither the IRM nor the Independent Integrity Unit could intervene in the matter.                                                                                                                                                                                                                                                                                    | Closed |
|      | PC0021 | Following outreach activities, the IRM received a communication alleging community displacement in a GCF funded project. The contact(s) said they would consult with the affected persons and follow up on how they would like to proceed.                                                                                                                                                                                                                                                                                                                                                          | Closed |
|      | PC0020 | Through routine monitoring of relevant media, the IRM identified an allegation of flood-related damage to community housing and facilities stemming from engineering failures in an infrastructure project funded by the GCF. The IRM reached out to relevant stakeholders based in the region to obtain further information. The IRM made several attempts to obtain information but receiving no response, the IRM closed the communication.                                                                                                                                                      | Closed |
|      | PC0019 | Following outreach activities, the IRM received information from contact(s) regarding deficiencies in the construction of new buildings in their region. However, due to the absence of any link to the GCF, the IRM closed the communication.                                                                                                                                                                                                                                                                                                                                                      | Closed |
| 2020 | PC0018 | The IRM received an email about the lack of response from a GCF Accredited Entity to inquiries about a project. The communication did not fall within the mandate of the IRM and was subsequently closed.                                                                                                                                                                                                                                                                                                                                                                                           | Closed |

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|      | PC0017 | The IRM received a communication involving a National Designated Authority related to a contractual dispute over the quality of services provided and payment for those services. The IRM informed sender(s) that the dispute did not fall within the IRM's purview and with their consent referred this matter to the Independent Integrity Unit.                                                                                                                                                                                        | Closed |
|      | PC0013 | The IRM received a communication via the Independent Integrity Unit about concerns relating to the credibility of an Accredited Entity, with a request to delay or stop the consideration of a funding proposal at a subsequent Board meeting. With the IRM's clarification that its mandate does not include stopping the consideration of funding proposals or acting as a representative on behalf of others at a Board meeting, the sender withdrew the communication.                                                                | Closed |
|      | PC0012 | The IRM received a communication relating to the illegal usage of private property by an agricultural company to grow crops. Since the concern did not relate to a GCF funded project, the communication was closed and the IRM suggested sender(s) approach the relevant grievance redress mechanism.                                                                                                                                                                                                                                    | Closed |
|      | PC0011 | During routine monitoring of media activities, the IRM identified public source(s) alleging community displacement, human rights violations, corruption and other serious concerns relating to a GCF funded project. The IRM established contact with the public source(s) and expected to receive further communications.<br><br>Despite many follow ups, the public source(s) did not respond to the IRM and the communication was subsequently closed.                                                                                 | Closed |
|      | PC0008 | During routine monitoring of media activities, the IRM identified a petition about a GCF funded programme that would allegedly harm communities. When contacted by the IRM, the civil society representative(s) organizing the petition clarified that they did not want to file a complaint. The IRM considered initiating a self-initiated inquiry, but due to the lack of specific locations where the programme would take place, the IRM decided to close the matter and continue to monitor the programme through public source(s). | Closed |
|      | PC0007 | The IRM received an email concerning the perceived unresponsiveness of an Accredited Entity. Following a later confirmation from sender(s) that the Accredited Entity had since been in touch, the IRM closed the communication.                                                                                                                                                                                                                                                                                                          | Closed |
| 2019 | PC0005 | The IRM received an email about a Procurement issue. As procurement issues are excluded from the IRM's purview, the IRM forwarded the complaint to the GCF's Procurement Team.                                                                                                                                                                                                                                                                                                                                                            | Closed |
|      | PC0004 | Following regional outreach activities, the IRM became aware of a concern relating to Indigenous Peoples at a GCF project site. The IRM noted stakeholders were already in communication with the relevant grievance redress mechanism for the Accredited Entity. Stakeholders were provided with information about how to contact the IRM. Receiving no follow ups, the IRM closed the communication.                                                                                                                                    | Closed |
|      | PC0003 | The GCF Secretariat forwarded to the IRM an email communication about illegal activities occurring on the sender(s)' private property. Since the property in question, and alleged illegal activities, were not related to a GCF funded project, the IRM closed the communication.                                                                                                                                                                                                                                                        | Closed |

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|  | PC0002 | The Independent Integrity Unit referred to the IRM questions from stakeholder(s) asking why a certain country was not being considered for GCF funding. The IRM clarified its mandate and provided information to stakeholder(s). Receiving no further response, the IRM closed the communication. | Closed |
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