

## History of IRM Pre-cases

A pre-case is a communication from an external party to the IRM and information received by the IRM that is registered in the Case Management System as a pre-case and may or may not mature into a complaint. Pre-cases that have been escalated to complaints are recorded on the Case Register page of the IRM's website.<sup>1</sup> The table below contains a summary of all the pre-cases registered in the IRM's Case Management System as of 30 September 2021.

Year	Pre-case number	Summary of communications	Status
2021	PC0023	The IRM received a communication about a dispute between the sender(s) of the communication and an Accredited Entity (AE) unrelated to a project funded by the GCF. Appropriate information was provided to the sender(s) that neither the IRM nor the Independent Integrity Unit could intervene in the matter.	Closed
	PC0021	Following outreach activities, the IRM received a communication alleging community displacement in a GCF funded project. The contact(s) said they would consult with the affected persons and follow up on how they would like to proceed.	Open
	PC0020	Through routine monitoring of relevant media, the IRM identified an allegation of flood-related damage to community housing and facilities stemming from engineering failures in an infrastructure project funded by the GCF. The IRM reached out to relevant stakeholders based in the region to obtain further information. The IRM made several attempts to obtain information but receiving no response, the communication was closed.	Closed
	PC0019	Following outreach activities, the IRM received information from contact(s) regarding deficiencies in the construction of new buildings in their region. However, due to the absence of any link to the GCF, the IRM closed the communication.	Closed
2020	PC0018	The IRM received an email about the lack of response from a GCF Accredited Entity to inquiries about a project. The communication did not fall within the mandate of the IRM and was subsequently closed.	Closed
	PC0017	The IRM received a communication involving a National Designated Authority related to a contractual dispute over the quality of services provided and payment for those services. The IRM informed sender(s) that the dispute did not fall within the IRM's purview and with their consent referred this matter to the Independent Integrity Unit.	Closed
	PC0013	The IRM received a communication via the Independent Integrity Unit about concerns relating to the credibility of an Accredited Entity, with a request to delay or stop the consideration of a funding proposal at a subsequent Board meeting. With the IRM's clarification that its mandate does not include stopping the consideration of funding proposals or acting as a representative on behalf of others at a Board meeting, the sender withdrew the	Closed

<sup>1</sup> Available at: <https://irm.greenclimate.fund/case-register>

		communication.	
	PC0012	The IRM received a communication relating to the illegal usage of private property by an agricultural company to grow crops. Since the concern did not relate to a GCF funded project, the communication was closed and the IRM suggested sender(s) approach the relevant grievance redress mechanism.	Closed
	PC0011	During routine monitoring of media activities, the IRM identified public source(s) alleging community displacement, human rights violations, corruption and other serious concerns relating to a GCF funded project. The IRM established contact with the public source(s) and expected to receive further communications. Despite many follow ups, the public source(s) did not respond to the IRM and the communication was subsequently closed.	Closed
	PC0008	During routine monitoring of media activities, the IRM identified a petition about a GCF funded programme that would allegedly harm communities. When contacted by the IRM, the civil society representative(s) organizing the petition clarified that they did not want to file a complaint. The IRM considered initiating a self-initiated inquiry, but due to the lack of specific locations where the programme would take place, the IRM decided to close the matter and continue to monitor the programme through public source(s).	Closed
	PC0007	The IRM received an email concerning the perceived unresponsiveness of an Accredited Entity. Following a later confirmation from sender(s) that the Accredited Entity had since been in touch, the IRM closed the communication.	Closed
2019	PC0005	The IRM received an email about a Procurement issue. As procurement issues are excluded from the IRM's purview, the IRM forwarded the complaint to the GCF's Procurement Team.	Closed
	PC0004	Following regional outreach activities, the IRM became aware of a concern relating to Indigenous Peoples at a GCF project site. The IRM noted stakeholders were already in communication with the relevant grievance redress mechanism for the Accredited Entity. Stakeholders were provided with information about how to contact the IRM. Receiving no follow ups, the IRM closed the communication.	Closed
	PC0003	The GCF Secretariat forwarded to the IRM an email communication about illegal activities occurring on the sender(s)' private property. Since the property in question, and alleged illegal activities, were not related to a GCF funded project, the IRM closed the communication.	Closed
	PC0002	The Independent Integrity Unit referred to the IRM questions from stakeholder(s) asking why a certain country was not being considered for GCF funding. The IRM clarified its mandate and provided information to stakeholder(s). Receiving no further response, the IRM closed the communication.	Closed